

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#42197

4234

In re application of: **Arndt**

Serial No.: **09/589,663**

Filed: **June 8, 2000**

For: **Hypervisor as a Set of Services**

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Group Art Unit: **2127**

Examiner: **Tang, Kenneth**

Attorney Docket No.: **AUS990940US1**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 15, 2004.

By:

*Kit Cruz-Verona*  
Kit Cruz-Verona

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APR 20 2004

Technology Center 2100

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ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

*Duke W. Yee*

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ATTORNEY FOR APPLICANT

Form PTO-1449

LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

AUS990940US1

SERIAL NO.

09/589,663

APPLICANT Arndt

FILING DATE June 8, 2000

GROUP ART UNIT 2127

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 5,437,033	Jul. 25, 1995	Inoue et al.	395/700	Nov. 4, 1991
	AB 5,996,026	Nov. 30, 1999	Onodera et al.	710/3	Apr. 4, 1997
	AC 5,276,884	Jan. 4, 1994	Mohan et al.	395/700	Apr. 1, 1991

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)


## RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

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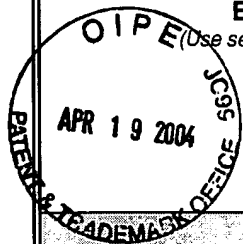
APR 20 2004

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DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





#4  
4-23-4

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
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Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

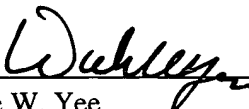
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

No item of information cited in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date: April 15, 2004

  
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